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FEC FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

For	Other Than An Autho	orized Committee		Office Use Only
NAME OF TYPE COMMITTEE (in full)	PE OR PRINT ▼	Example: If typing, type over the lines.	12FE4M5	
The Caterpillar Inc. Empl	oyee Political Actior	n Committee		
ADDRESS (number and street)	00 N.E. Adams Street			
Check if different				
than previously reported. (ACC)	Peoria		LLL L	61629-1430
2. FEC IDENTIFICATION NUMBER	BER ▼ CITY	′ ▲	STATE A	ZIP CODE ▲
C C00148031	3. IS RE	THIS NEW (N) OR		ENDED
4. TYPE OF REPORT (Choose One)	Report Due On:	20 (M2) May 20 (M5		0 (M8) Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Mar 2	20 (M3) Jun 20 (M6) Sep 2	0 (M9) Dec 20 (M12) (Non-Election Year Only)
April 15 Quarterly Report (Q1)	Apr 2	0 (M4) Jul 20 (M7)	Oct 20	Jan 31 (YE)
July 15 Quarterly Report (Q2)	(c) 12-Day PRE-Election	Primary (12P)	X General (1	2G) Runoff (12R)
October 15 Quarterly Report (Q3)	Report for the:	Convention (12C)	Special (1	2S)
January 31 Year-End Report (YE)	Election	on 11 08	2016	in the State of MN
July 31 Mid-Year Report (Non-election Year Only) (MY)	(d) 30-Day POST-Election	General (30G)	Runoff (30	R) Special (30S)
Termination Report (TER)	Report for the: Election	on//	Y = Y = Y	in the State of
5. Covering Period 10	01 2016	through 10	19	2016
I certify that I have examined this F	Report and to the best of n	ny knowledge and belief it is	true, correct and	complete.
Type or Print Name of Treasurer				
Signature of Treasurer Cordani,	Benjamin, , ,	[Electronically Filed]	Date 10	26 2016
NOTE: Submission of false, erroneous	s, or incomplete information	may subject the person signing	this Report to the	penalties of 52 U.S.C. § 3010
Office Use Only				FEC FORM 3X Rev. 05/2016

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines

6(a) and 6(c) for Column B).....

The Caterpillar Inc. Employee Political Action Committee

10 01 2016 10 19 2016 Report Covering the Period: From: To: **COLUMN A COLUMN B** This Period Calendar Year-to-Date (a) Cash on Hand 651672.90 January 1. 2016 (b) Cash on Hand at 310329.28 Beginning of Reporting Period..... 1128.35 673575.02 Total Receipts (from Line 19)

311457.63

1325247.92

This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E Street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE

of Receipts

FEC Form 3X (Rev. 05/2016)

Write or Type Committee Name

The Caterpillar Inc. Employee Political Action Committee

I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
ntributions (other than loans) From:		
	1000.05	
(i) Itemized (use Schedule A)	1020.35	483800.98
(ii) Unitomized	108.00	189724.12
	4 4	4 4
	1128.35	673525.10
Political Party Committees	0.00	0.00
Other Political Committees	0.00	0.00
(such as PACs)	0.00	0.00
•		
	1128 35	673525.10
	1120.00	3.5025.10
	0.00	0.00
ty committees	0.00	
Loans Received	0.00	0.00
an Repayments Received	0.00	0.00
sets To Operating Expenditures	, , , , , , , , , , , , , , , , , , , ,	
· · · · · · · · · · · · · · · · · · ·		
	0.00	0.00
	0.00	0.00
	0.00	0.00
· · · · · · · · · · · · · · · · · · ·	0.00	49.92
· · · · · · · · · · · · · · · · · · ·	0.00	45.52
	0.00	0.00
, ,	4 4	4 4
Levin Funds (from Schedule H5)	0.00	0.00
25 27.00 (1.011) 25.100010 (1.0)	4 4	4 4
Total Transfers (add 18(a) and 18(b))	0.00	0.00
	Than Political Committees (i) Itemized (use Schedule A)	Than Political Committees (i) Itemized (use Schedule A)

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
Operating Expenditures: (a) Allocated Federal/Non-Federal Activity (from Schedule H4)	Total Tills Letton	Calcilual Teal-to-Date
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share	0.00	0.00
(b) Other Federal Operating	0.00	7 7 7
Expenditures	0.00	165.29
(c) Total Operating Expenditures	0.00	165.29
(add 21(a)(i), (a)(ii), and (b))▶ Transfers to Affiliated/Other Party	0.00	103.28
Committees	0.00	0.00
Contributions to Federal Candidates/Committees	05500.00	599000.00
and Other Political Committees Independent Expenditures	65500.00	599000.00
(use Schedule E)	0.00	0.00
Coordinated Party Expenditures (52 U.S.C. § 30116(d))		
(use Schedule F)	0.00	0.00
Loan Repayments Made	0.00	0.00
	4 4	4 4
Loans Made Refunds of Contributions_To:	0.00	0.00
(a) Individuals/Persons Other Than Political Committees	0.00	6225.00
	45 45 45 45	4 4 4
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs)	0.00	0.00
(d) Total Contribution Refunds		4 4
(add Lines 28(a), (b), and (c))▶	0.00	6225.00
Other Disbursements (Including		
Non-Federal Donations)	29150.00	503050.00
Federal Election Activity (52 U.S.C. § 30101(2	20))	
(a) Allocated Federal Election Activity		
(from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share	0.00	0.00
(b) Federal Election Activity Paid	7 7 7 7	
Entirely With Federal Funds	0.00	0.00
Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
	5.55	0.00
Total Disbursements (add Lines 21(c), 22,		
23, 24, 25, 26, 27, 28(d), 29 and 30(c))	94650.00	1108440.29
Total Federal Disbursements		, , , , , , , , , , , , , , , , , , , ,
(subtract Line 21(a)(ii) and Line 30(a)(ii)		
from Line 31)	94650.00	1108440.29

DETAILED SUMMARY PAGE

of Disbursements

FEC Form 3X (Rev. 05/2016)

Page 5

FEC FORM 3X (Rev. 05/2016)		Page 3
III. Net Contributions/ Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
3. Total Contributions (other than loans) (from Line 11(d), page 3)	1128.35	673525.10
4. Total Contribution Refunds (from Line 28(d))	0.00	6225.00
5. Net Contributions (other than loans) (subtract Line 34 from Line 33)	1128.35	667300.10
6. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))	0.00	165.29
7. Offsets to Operating Expenditures (from Line 15, page 3)	0.00	0.00
8. Net Operating Expenditures (subtract Line 37 from Line 36)	0.00	165.29

FOR LINE NUMBER: PAGE 6 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Anderson, Michael, , , Date of Receipt Mailing Address 1656 Livingstone Road 13 2016 City Zip Code State Transaction ID: A2016-2007129 WI Hudson 54016 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Manager I, Signal Engineering **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Balkom, Mike, , , Date of Receipt Mailing Address 10650 Highway 80 East 10 2016 City State Zip Code Transaction ID: A2016-2007149 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 48.31 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager, Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1014.51 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Branka, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 13 2016 City State Zip Code Transaction ID: A2016-2007113 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 31.06 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 652.26 Other (specify) 104.37 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 7 Use separate schedule(s) (check only one) **X** 11a 11b 11c

33 OF for each category of the 12 Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Buncher, Nathaniel, , , Date of Receipt Mailing Address 3575 Lone Star Circle Suite 308 13 2016 City Zip Code State Transaction ID: A2016-2007130 TX Ft. Worth 76177 Amount of Each Receipt this Period FEC ID number of contributing C 43.26 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) VP, Global Aftermarket Parts **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 865.20 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Cabay, Kenneth, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007132 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Creech, Paul, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007117 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Railcar Sales & Leasing Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 88.26 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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for each category of the Detailed Summary Page Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Cross, Johnny, , , Date of Receipt Mailing Address 3500 South Cowan Road 2016 13 City Zip Code State Transaction ID: A2016-2007104 IN Muncie 47302 Amount of Each Receipt this Period FEC ID number of contributing 33.84 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 709.79 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Davis, Ryan, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007144 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Opers Wheel Axle Bearings Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Doligale, Suzette, , , Date of Receipt Mailing Address 9301 W. 55th Street 13 2016 City State Zip Code Transaction ID : A2016-2007110 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 73.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

FOR LINE NUMBER: PAGE 9 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

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for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Edwards, Brian, , , Date of Receipt Mailing Address 3575 Lone Star Circle Suite 308 13 2016 City Zip Code State Transaction ID: A2016-2007131 TX Ft. Worth 76177 Amount of Each Receipt this Period FEC ID number of contributing 59.69 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1253.49 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Garman, Scott, , , Date of Receipt Mailing Address 9301 W. 55th Street 10 2016 City State Zip Code Transaction ID: A2016-2007109 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** George, Tisha, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID : A2016-2007122 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 10.20 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 214.20 Other (specify) 94.89 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 10 OF Use separate schedule(s) (check only one) **X** 11a 11b 11c 12

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Hart, Matthew, , , Date of Receipt Mailing Address 1900 Front Street 13 2016 City Zip Code State Transaction ID: A2016-2007123 KY Ashland 41101 Amount of Each Receipt this Period FEC ID number of contributing C 25.22 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Director, Sales & Marketing Receipt For: Aggregate Year-to-Date ▼ Primary General 504.40 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Haycraft, Martin, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007143 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP/CMO, LRS Sales Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Just, Pamela, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007145 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 24.75 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Controller, Business Unit Receipt For: Aggregate Year-to-Date ▼ Primary General 495.00 Other (specify) 69.97 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... 7

33 FOR LINE NUMBER: PAGE 11 OF Use separate schedule(s) (check only one) **X** 11a 11b 12 11c

for each category of the Detailed Summary Page 13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Klabunde, Michael, , , Date of Receipt Mailing Address 9301 W. 55th Street 13 2016 City Zip Code State Transaction ID: A2016-2007105 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Director, Locomotive Services Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Lawley, Jimmy, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007146 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Information Technology Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 500.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name C. Lenz, Martha, , , Date of Receipt Mailing Address 9301 W. 55th Street 13 2016 City State Zip Code Transaction ID: A2016-2007111 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) 50.00 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only)..... ___

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Lester, Phillip, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007133 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 17.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 350.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Maples, Gregory, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID : A2016-2007127 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 62.61 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Analyst I, Financial Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 1299.91 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Monteleone, Arnold, , , Date of Receipt Mailing Address 525 W. Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007147 TX Sherman 75092 Amount of Each Receipt this Period FEC ID number of contributing C 25.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Trackwork Division Receipt For: Aggregate Year-to-Date ▼ Primary General 500.00 Other (specify) 105.11 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 13 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Nelson, Gary, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007135 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 12.50 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 250.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. O'Neal, Edward, A,, Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007148 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 80.34 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Health & Safety Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 803.40 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **c.** Powell, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007124 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP and Controller Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 112.84 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

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13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Roeder, David, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007118 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 50.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) SVP Eng & Track Serv Bus Unit **Progress Rail Services** Receipt For: Aggregate Year-to-Date ▼ Primary General 1000.00 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name **B.** Roenspies, David, , , Date of Receipt Mailing Address 9301 W. 55th Street 10 2016 City State Zip Code Transaction ID: A2016-2007106 IL LaGrange 60525 Amount of Each Receipt this Period FEC ID number of contributing 23.68 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 497.28 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Schebo, Jack, , , Date of Receipt Mailing Address 5600 Stilwell 13 2016 City Zip Code State Transaction ID: A2016-2007150 MO Kansas City 64120 Amount of Each Receipt this Period FEC ID number of contributing C 30.59 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Manager I, Project Receipt For: Aggregate Year-to-Date ▼ Primary General 611.80 Other (specify) 104.27 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 15 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Smith, Mary, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007125 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 85.38 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1602.10 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Soriano, Jose, , , Date of Receipt Mailing Address 1600 Progress Drive 10 2016 City State Zip Code Transaction ID: A2016-2007126 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services VP, Intermodal Operation Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) ▼ 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name c. Spruiell, Laine, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City State Zip Code Transaction ID: A2016-2007119 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Controller, International Receipt For: Aggregate Year-to-Date ▼ Primary General 400.00 Other (specify) 125.38 SUBTOTAL of Receipts This Page (optional)..... TOTAL This Period (last page this line number only).....

33 FOR LINE NUMBER: PAGE 16 OF Use separate schedule(s) (check only one) for each category of the **X** 11a 11b 11c 12 Detailed Summary Page

13 14 15 16 Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee. NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Political Action Committee Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Wolbert, Robert, , , Date of Receipt Mailing Address 1600 Progress Drive 13 2016 City Zip Code State Transaction ID: A2016-2007140 AL Albertville 35950 Amount of Each Receipt this Period FEC ID number of contributing C 71.42 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) **Progress Rail Services** Manager Receipt For: Aggregate Year-to-Date ▼ Primary General 1498.12 Other (specify) Full Name of Individual (Last, First, Middle Initial) or Full Organization Name B. Zaydel, Alexander, , , Date of Receipt Mailing Address 10650 Highway 80 East 10 2016 City State Zip Code Transaction ID: A2016-2007128 AL Montgomery 36117 Amount of Each Receipt this Period FEC ID number of contributing 20.00 federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Progress Rail Services Managing Dir International Bus Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 400.00 Full Name of Individual (Last, First, Middle Initial) or Full Organization Name Date of Receipt Mailing Address City State Zip Code Amount of Each Receipt this Period FEC ID number of contributing C federal political committee. Memo Item Name of Employer (for Individual) Occupation (for Individual) Receipt For: Aggregate Year-to-Date ▼ Primary General Other (specify) 91.42 SUBTOTAL of Receipts This Page (optional)..... 1020.35 TOTAL This Period (last page this line number only).....

TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE I (check only 21b 28a	•
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
Full Name (Last, First, Middle Initial) Terri Sewell for Congress Mailing Address P.O. Box 1964			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Birmingham Purpose of Disbursement Contribution Candidate Name Sewell, Terri, , Office Sought: House Disbursem	AL 35201	011 Category/ Type	FEC Identification Number C C00458976 Transaction ID : B631347 Amount of Each Disbursement this Period
State: AL District: 07	Other (specify) ▼		Memo Item
,	State Zip Code CA 92138		Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Contribution Candidate Name Davis, Susan, , , Office Sought: X House Disbursem Senate	Detailed Summary Page		
Full Name (Last, First, Middle Initial) Scott Peters for Congress Mailing Address PO Box 22074			M M / D D / Y Y Y Y
San Diego Purpose of Disbursement Contribution Candidate Name Peters, Scott, , , Office Sought: W House Disbursement Contribution Contribution	nent For: 2016 Primary	Category/	C C00503110 Transaction ID : B631349 Amount of Each Disbursement this Period 5000.00
SUBTOTAL of Disbursements This Page (optional)		······	11500.00
TOTAL This Period (last page this line number only).		·····•	

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE Note (check only 21b 28a			
Any information copied from such Reports and Statem or for commercial purposes, other than using the name					
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	tical Action Committe	ee			
Full Name (Last, First, Middle Initial) A. Bera for Congress Mailing Address Post Office Box 582496			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
Elk Grove Purpose of Disbursement Contribution Candidate Name Bera, Amerish, , ,	State Zip Code CA 95758	011 Category/ Type	FEC Identification Number C C00461061 Transaction ID : B632613 Amount of Each Disbursement this Period		
Senate	nent For: 2016 Primary General Other (specify)		Memo Item		
Full Name (Last, First, Middle Initial) Jim Costa for Congress Mailing Address 2037 W Bullard Avenue #355 Date of Disbursement 10 14 2016					
,	State Zip Code CA 93711	011 Category/ Type	FEC Identification Number C C00391029 Transaction ID : B632614 Amount of Each Disbursement this Period		
Office Sought: House Disbursem	ment For: 2016 Primary x General Other (specify)	Туре	2000.00 Memo Item		
Full Name (Last, First, Middle Initial) C. Himes for Congress Mailing Address 857 Post Road #312			Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
City Fairfield Purpose of Disbursement Contribution Candidate Name Himes, Jim, , ,	State Zip Code CT 06824	011 Category/ Type	FEC Identification Number C C00434191 Transaction ID : B632615 Amount of Each Disbursement this Period		
Office Sought: House Disbursem	ment For: 2016 Primary General Other (specify) ▼	туре	2000.00 Memo Item		
SUBTOTAL of Disbursements This Page (optional)		······•	9000.00		
TOTAL This Period (last page this line number only).					

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 19 OF 33
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	one) 22 🗶 23 26 27
	Detailed Summary Page	28a	28b 28c 29 30b
Any information copied from such Reports and Statem	nents may not be sold or use	ed by any perso	on for the purpose of soliciting contributions
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politics	ical Action Committe	ee	
Full Name (Last, First, Middle Initial)			
A. Middle Class America PAC			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address P.O. Box 521			10 10 2016
City	State Zip Code		FEC Identification Number
Western Springs	IL 60558		
Purpose of Disbursement Contribution		011	C C00486860
Candidate Name			Transaction ID : B631346
		Category/ Type	Amount of Each Disbursement this Period
Office Sought: House Disbursen	nent For: 2016		2500.00
Dun ai da ut	Primary General		_
State: President x	Other (specify) ▼ Not Applicable	j	Memo Item
Full Name (Last, First, Middle Initial)			
B. Boustany For Senate Inc			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address PO Box 80126			10 10 2016
,	State Zip Code		FEC Identification Number
Lafayette Purpose of Disbursement	LA 70598		
Contribution		011	C C00394866
Candidate Name		Category/	Transaction ID: B631345 Amount of Each Disbursement this Period
Boustany, Charles, W, , Jr.		Type	
	nent For: 2016		5000.00
	Primary General Other (specify)		
State: LA District:	Cirior (opcomy)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Richmond for Congress			Date of Disbursement
Mailing Address 1631 Elysian Fields Suite 150			10 14 2016
Maining Address 1031 Liysiai Fields Suite 130			. 20.0
,	State Zip Code		FEC Identification Number
New Orleans Purpose of Disbursement	LA 70126		C 0004E422C
Contribution		011	C C00451336
Candidate Name		Category/	Transaction ID : B632625 Amount of Each Disbursement this Period
Richmond, Cedric, , ,		Туре	4000.00
	nent For: 2016 Primary 🗶 General		1000.00
	Primary		П., .
State: LA District: 02			Memo Item
•			2522.22
SUBTOTAL of Disbursements This Page (optional)		·····•	8500.00
TOTAL This Period (last page this line number only).			

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 20 OF 33 (check only one)			
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 28a	22 x 23 26 27 28b 28c 29 30b		
Any information copied from such Reports and Statem or for commercial purposes, other than using the name					
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Polit	ical Action Committe	ee			
Full Name (Last, First, Middle Initial)					
Ameripac: The Fund for A Greater Mailing Address 700 13th Street NW #600	America		Date of Disbursement 10 14 2016		
Walling Address 755 Toll Glock 1447 7555			.5 20,0		
City S Washington	State Zip Code 20005		FEC Identification Number		
Purpose of Disbursement	20003		C 000374339		
Contribution		011	C C00271338		
Candidate Name		Catagory	Transaction ID : B632620 Amount of Each Disbursement this Period		
		Category/ Type	Amount of Lacif Dispulsement this Pellod		
	nent For: 2016 Primary General		2500.00		
	Other (specify) ▼		Memo Item		
State: District:	Not Applicable		ш		
Full Name (Last, First, Middle Initial) Third Congressional District Rep. F Mailing Address P.O. Box 390722	Party of MN		Date of Disbursement M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
,	State Zip Code		FEC Identification Number		
Purpose of Disbursement	MN 55439		C C00626093		
Contribution Candidate Name		011 Category/	Transaction ID : B631359 Amount of Each Disbursement this Period		
	nent For: 2016	Type	5000.00		
	Primary General Other (specify)		Memo Item		
State: District:	Not Applicable	Э	Wellie Item		
Full Name (Last, First, Middle Initial) Peterson for Congress			Date of Disbursement		
Mailing Address 26192 Floyd Lake Point Road			10 14 2016		
,	State Zip Code		FEC Identification Number		
Detroit Lakes Purpose of Disbursement	MN 56501				
Candidate Name		011	C C00253187 Transaction ID : B632626		
Peterson, Collin, , ,		Category/	Amount of Each Disbursement this Period		
Office Sought: House Disbursen	nent For: 2016 Primary 🗶 General	Туре	1000.00		
President State: MN District: 07	Other (specify) ▼		Memo Item		
			8500.00		
SUBTOTAL of Disbursements This Page (optional) TOTAL This Period (last page this line number only)		·····	355550		

ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE (check only 21b 28a			
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam					
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Committ	ee			
Full Name (Last, First, Middle Initial) A: Friends For Gregory Meeks Mailing Address 153-01 Jamaica Ave. Suite 535			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
,	State Zip Code NY 11432	011 Category/	FEC Identification Number C C00329375 Transaction ID: B631354 Amount of Each Disbursement this Period		
Senate	nent For: 2016 Primary	Type	2000.00 Memo Item		
Full Name (Last, First, Middle Initial) Blumenauer for Congress Mailing Address 901 SE Oak Street Suite 105 Date of Disbursement 10 10 2016					
,	State Zip Code OR 97214	011	FEC Identification Number C C00307314 Transaction ID: B631343		
Senate	nent For: 2016 Primary 🗶 General Other (specify)	Category/ Type	Amount of Each Disbursement this Period 2500.00 Memo Item		
Full Name (Last, First, Middle Initial) C. Kurt Schrader for Congress Mailing Address PO Box 3314			Date of Disbursement M M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y		
City Oregon City Purpose of Disbursement Contribution Candidate Name Schrader, Kurt, , ,	State Zip Code OR 97045	011 Category/ Type	FEC Identification Number C C00446906 Transaction ID: B632623 Amount of Each Disbursement this Period		
Office Sought: House Disbursem	nent For: 2016 Primary 🗶 General Other (specify) 🔻		2500.00 Memo Item		
SUBTOTAL of Disbursements This Page (optional)		······	7000.00		
TOTAL This Period (last page this line number only).		·····•			

SCHEDULE B (FEC Form 3X)	11	FOR LINE	NUMBER: PAGE 22 OF 33
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 x 23 26 27 28b 28c 29 30b
Any information copied from such Reports and Statem	anto mov not be cold or us		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
/		-	
Full Name (Last, First, Middle Initial)			Date of Disbursement
A. Cooper for Congress			M M / D D / Y Y Y
Mailing Address PO Box 198497			10 14 2016
	1		
,	State Zip Code TN 37219		FEC Identification Number
Purpose of Disbursement	37213		C C00376665
Contribution		011	Transaction ID : B632616
Candidate Name		Category/	Amount of Each Disbursement this Period
Cooper, Jim, , , Office Sought: X House Disburser		Туре	2500.00
	nent For: 2016 Primary		2300.00
	Other (specify)		
State: TN District: 05	(1), (Memo Item
Full Name (Last, First, Middle Initial)			
B. Texans for Henry Cuellar Congress	sional Campaign		Date of Disbursement
Mailing Address 4540 Washington St. Cuite 200			10 14 2016
Mailing Address 1519 Washington St. Suite 200			10 14 2010
,	State Zip Code		FEC Identification Number
24.040	TX 78042		
Purpose of Disbursement Contribution		011	C C00371302
Candidate Name		Category/	Transaction ID: B632617 Amount of Each Disbursement this Period
Cuellar, Henry, , ,		Type	Amount of Each disbursement this Fellou
	nent For: 2016		2500.00
	Primary General		_
President State: TX District: 28	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Filemon Vela for Congress			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 10715 Gulfdale St Ste 235			10 14 2016
City	State Zip Code		FFO Identification Number
San Antonio	TX 78216		FEC Identification Number
Purpose of Disbursement Contribution			C C00513531
Candidate Name		011	Transaction ID : B632618
Vela, Filemon, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2016	.,,,,,	1500.00
Senate	Primary General		
	Other (specify) ▼		Memo Item
State: TX District: 34			
SUBTOTAL of Disbursements This Page (optional)			6500.00
COLINE OF PRODUCTION THIS I age (optional)		<u> </u>	
TOTAL This Period (last page this line number only).			1

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE NUMBER: PAGE 23 OF 33		
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only one) 21b 22 X 23 28c 28c	26 27 29 30b	
Any information copied from such Reports and Staten or for commercial purposes, other than using the nam				
NAME OF COMMITTEE (In Full)	·			
The Caterpillar Inc. Employee Polit	ical Action Committee			
Full Name (Last, First, Middle Initial)		Data of Dishuraan	ant.	
A. Blue Dog Political Action Committe Mailing Address P.O. Box 83142	e 	Date of Disbursem	_	
,	State Zip Code MD 20883	FEC Identification	Number	
Gaithersburg Purpose of Disbursement	MD 20883	0 00005046		
Contribution		O11 C C00305318		
Candidate Name		Transaction II	D: B631351 isbursement this Period	
		ategory/ Amount of Each D	isbursement this Period	
	nent For: 2016 Primary General		2500.00	
	Other (specify) ▼	Memo Item		
State: District:	Not Applicable			
Full Name (Last, First, Middle Initial) B. New Democrat Coalition PAC		Date of Disbursem	/ Y = Y = Y = Y	
Mailing Address 700 13th Street NW #600		10 10	2016	
City Washington	State Zip Code 20005	FEC Identification	Number	
Purpose of Disbursement	20005	C C00409730		
Contribution		011		
Candidate Name	,	rategory/ Amount of Each D Type	isbursement this Period	
Senate	nent For: 2016 Primary General		2500.00	
State: President X	Other (specify) Not Applicable	Memo Item		
Full Name (Last, First, Middle Initial) C. Texas First PAC		Date of Disbursem	_	
Mailing Address 1519 Washington Street Suite 200		10 / 14	2016	
City	State Zip Code	FEC Identification	Number	
Laredo	TX 78042	red identification	INUITIDEI	
Purpose of Disbursement Contribution		O11 C00439398		
Candidate Name			isbursement this Period	
Senate	nent For: 2016 Primary General		2500.00	
State: President X	Other (specify) ▼ Not Applicable	Memo Item		
<u> </u>			7500.00	
SUBTOTAL of Disbursements This Page (optional)		··········· <u> </u>	7500.00	
TOTAL This Period (last page this line number only)				

SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the	(check only	vone) □ 22 x 23 □ 26 □ 27
	Detailed Summary Page	28a	28b 28c 29 30b
Any information copied from such Reports and Statem			
or for commercial purposes, other than using the name	ne and address of any politic	cal committee to	solicit contributions from such committee.
NAME OF COMMITTEE (In Full) The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
Full Name (Last, First, Middle Initial)			
A. Friends of Don Beyer			Date of Disbursement
Mailing Address 1751 Potomac Greens Drive			10 14 2016
,	State Zip Code		FEC Identification Number
Alexandria Purpose of Disbursement	VA 22314		
Contribution		011	C C00555888
Candidate Name		Category/	Transaction ID : B632619 Amount of Each Disbursement this Period
Beyer, Don, , , Jr.		Туре	
	nent For: 2016 Primary		1000.00
	Primary		Marra Hara
State: VA District: 08			Memo Item
Full Name (Last, First, Middle Initial)			
B. People for Derek Kilmer			Date of Disbursement
Mailing Address PO Box 1381			10 14 2016
City	State Zip Code		EEC Identification Number
. 4554	WA 98402		FEC Identification Number
Purpose of Disbursement Contribution		011	C C00514893
Candidate Name		Category/	Transaction ID: B632624 Amount of Each Disbursement this Period
Kilmer, Derek, , ,		Type	Amount of Each Disbursement this Period
	nent For: 2016		1000.00
	Primary General Other (specify)		
State: WA District: 06	other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Moore for Congress			Date of Disbursement
Mailing Address PO Box 16646			10 10 2016
Maining Madress 1 C Box 10040			
,	State Zip Code		FEC Identification Number
Milwaukee Purpose of Disbursement	WI 53216		C C00397505
Contribution		011	Transaction ID : B631356
Candidate Name		Category/	Amount of Each Disbursement this Period
Moore, Gwendolynne, , , Office Sought: Mouse Disburser	nent For: 2016	Туре	5000.00
	Primary General		4- 4- 4- 1-
	Other (specify) ▼		Memo Item
State: WI District: 04			
SUBTOTAL of Disbursements This Page (optional)			7000.00
			07770000
TOTAL This Period (last page this line number only).			65500.00

SCHEDULE B (FEC Form 3X)			FOR LINE I	NUMBER:	PAGE 25 OF 33
TEMIZED DISBURSEMENTS		rate schedule(s) category of the	(check only		
		Summary Page	21b 28a	22 28b	23 26 27 28c x 29 30b
Any information copied from such Reports and Statem	l nents may n	not he sold or use			
or for commercial purposes, other than using the nam	ne and addre	ess of any politica	al committee to	solicit contrib	utions from such committee.
NAME OF COMMITTEE (In Full)					
The Caterpillar Inc. Employee Polit	ical Action	on Committe	ee		
/					
Full Name (Last, First, Middle Initial) Acosta for Assembly 2016				Date of Dis	sbursement
" Acosta for Assembly 2010				M M /	D D / Y Y Y Y
Mailing Address 16654 Soledad Canyon Rd. #188				10	10 2016
		1			
City Santa Clarita	State CA	Zip Code 91387		FEC Identif	fication Number
Purpose of Disbursement	<u> </u>	91367		С	
G-2016 State House 38 CA			011		estion ID - DC24207
Candidate Name			Category/		action ID: B631387 Each Disbursement this Period
Acosta, Dante, , ,			Туре		
	nent For: 2				1500.00
	Primary Other (spec	General		-	
State: CA District: 38	Other (spec	, iiy) ▼		Memo	Item
Full Name (Last, First, Middle Initial)					
Bocanegra for Assembly 2016				Date of Dis	sbursement
				M = M /	D D / Y Y Y Y
Mailing Address 1787 Tribute Road Suite K				10	10 2016
City	State	Zip Code		FFO Islandi	Caratian Niverban
Sacramento	CA	95815		FEC Identif	fication Number
Purpose of Disbursement G-2016 State House 39 CA			244	C	
Candidate Name			011		ction ID : B631381
Bocanegra, Raul, , ,			Category/ Type	Amount of	Each Disbursement this Period
	nent For: 2	2016	.,,,,		2000.00
	Primary	✗ General			y 4-
	Other (spec	eify)		Memo	Item
State: CA District: 39					
Full Name (Last, First, Middle Initial)				Date of Dis	churcamant
Chang for Senate 2016				M M /	D D / Y Y Y Y
Mailing Address 1201 K Street Suite 950				10	10 2016
City Sacramento	State CA	Zip Code 95814		FEC Identif	fication Number
Purpose of Disbursement		93614		С	
G-2016 State Senate 29 CA			011		action ID : B631385
Candidate Name			Category/		Each Disbursement this Period
Chang, Ling-Ling, , ,			Type		4500.00
	nent For: 2				1500.00
	Primary Other (spec	General ifv) ▼			
State: CA District: 29	2 (opoo	···· <i>y)</i> ▼		Memo	Item
SUBTOTAL of Disbursements This Page (optional)					5000.00
TOTAL This Poyled (lest were this line assets as and A					
TOTAL This Period (last page this line number only).				1 1 1	

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 26 OF 33
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	nante may not be sold or us		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
/		-	
Full Name (Last, First, Middle Initial) A. James Gallagher for Assembly			Date of Disbursement
James Gallagher for Assembly			M M / D D / Y Y Y Y
Mailing Address 1127 11th Street Suite 548			10 10 2016
,	State Zip Code CA 95814		FEC Identification Number
Purpose of Disbursement	00011		С
G-2016 State House 3 CA		011	Transaction ID : B631377
Candidate Name		Category/	Amount of Each Disbursement this Period
Gallagher, James, , , Office Sought: House Disbursen	nent For: 2016	Туре	2000.00
	Primary		7
	Other (specify) ▼		Memo Item
State: CA District: 03			ivienio item
Full Name (Last, First, Middle Initial)			
B. Tim Grayson for State Assembly 20	016		Date of Disbursement
Mailing Address 1017 L Street #903			10 10 2016
1011 L 00000 1000			
,	State Zip Code		FEC Identification Number
Sacramento Purpose of Disbursement	CA 95814		
G-2016 State House 14 CA		011	C
Candidate Name		Category/	Transaction ID : B631378 Amount of Each Disbursement this Period
Grayson, Tim, , ,		Type	
	nent For: 2016		2000.00
	Primary General Other (specify)		
State: CA District: 14	Cirici (opcony)		Memo Item
Full Name (Last, First, Middle Initial)			
C. Lackey for Assembly 2016 (#13737	7 55)		Date of Disbursement
M. W. Ald Services	•		M M / D D / Y Y Y Y
Mailing Address 976 Pacific Avenue			10 10 2016
City	State Zip Code		FEC Identification Number
Willows	CA 95988		
Purpose of Disbursement G-2016 State House 36 CA		011	C
Candidate Name			Transaction ID: B631380 Amount of Each Disbursement this Period
Lackey, Tom, , ,		Category/ Type	Amount of Each Disbursement this Fellou
Office Sought: House Disbursem	nent For: 2016		2000.00
	Primary General		
State: CA District: 36	Other (specify) ▼		Memo Item
CIMIC. OA DIOTIOL 30			
SUBTOTAL of Disbursements This Page (optional)			6000.00
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TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X)		FOR LINE I	NUMBER: PAGE 27 OF 33
TEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b	22 23 26 27
		28a	28b 28c x 29 30b
Any information copied from such Reports and Statem or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committe	ee	
Full Name (Last, First, Middle Initial)			
A. Nguyen for CA State Assembly 201	16		Date of Disbursement
Mailing Address 10310 Kenny Lane			10 10 2016
,	State Zip Code		FEC Identification Number
	CA 95127		
Purpose of Disbursement G-2016 State House 27 CA		011	Transaction ID : B631379
Candidate Name		Category/	Amount of Each Disbursement this Period
Nguyen, Madison, , ,		Туре	4500.00
	nent For: 2016		1500.00
	Primary x General Other (specify) ▼		Memo Item
State: CA District: 27			<u> </u>
Full Name (Last, First, Middle Initial)			5. (5.)
B. Marc Steinorth for Assembly			Date of Disbursement
Mailing Address 921 11th Street Suite 701			10 10 2016
City	State Zip Code		
-	CA 95814		FEC Identification Number
Purpose of Disbursement			C
G-2016 State House 40 CA		011	Transaction ID : B631382
Candidate Name		Category/	Amount of Each Disbursement this Period
Steinorth, Marc, , , Office Sought: House Disbursem	nent For: 2016	Туре	2000.00
	Primary x General		7 7 7
	Other (specify)		Maria hara
State: CA District: 40			Memo Item
Full Name (Last, First, Middle Initial)			
C. Stern for Senate 2016			Date of Disbursement
Mailing Address 1787 Tribute Road Suite K			10 10 2016
	State Zip Code		FEC Identification Number
Sacramento Purpose of Disbursement	CA 95815		
G-2016 State Senate 27 CA		011	C Town of the IP Product
Candidate Name		Category/	Transaction ID : B631384 Amount of Each Disbursement this Period
Stern, Henry, , ,		Type	
\vdash	nent For: 2016		1500.00
	Primary General		_
State: CA District: 27	Other (specify) ▼		Memo Item
Similar On Biolifot. 21			
SUBTOTAL of Disbursements This Page (optional)		············ <u>▶</u>	5000.00
TOTAL This Period (last page this line number only).		·····	

SCHEDULE B (FEC Form 3X)	Llas concreto cohodula(a)	FOR LINE I	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	Lents may not be sold or use	ed by any perso	<u>"</u>
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committe	ee	
/ Full Name (Last, First, Middle Initial)			
A. Wilk for Senate 2016 (#1383880)			Date of Disbursement
			M M / D D / Y Y Y
Mailing Address 7185 Navajo Road Suite P			10 10 2016
City	State Zip Code		
-	CA 92119		FEC Identification Number
Purpose of Disbursement			C
G-2016 State Senate 21 CA		011	Transaction ID : B631383
Candidate Name Wilk, Scott, , ,		Category/	Amount of Each Disbursement this Period
	nent For: 2016	Туре	2000.00
	Primary X General		7 7
	Other (specify) ▼		Memo Item
State: CA District: 21			
Full Name (Last, First, Middle Initial) B. Duran for Colorado			Date of Disbursement
B. Duran for Colorado			M M / D D / Y Y Y
Mailing Address 4956 Umatilla Street			10 10 2016
,	State Zip Code CO 80211		FEC Identification Number
Purpose of Disbursement	00211		C
O-2016 State House 05 CO		011	Transaction ID : B631386
Candidate Name		Category/	Amount of Each Disbursement this Period
Duran, Crisanta, , , Office Sought: House Disbursem	nent For: 2016	Туре	400.00
	Primary General		100.00
	Other (specify)		Memo Item
State: CO District: 05	Election Cycle	:	Wellio Itelli
Full Name (Last, First, Middle Initial)			B (B)
C. Bethel for Georgia Senate			Date of Disbursement
Mailing Address 1701 Briarcliff Circle			10 10 2016
,	State Zip Code GA 30720		FEC Identification Number
Dalton Purpose of Disbursement	GA 30720		C
G-2016 State Senate 54 GA		011	Transaction ID : B631365
Candidate Name		Category/	Amount of Each Disbursement this Period
Bethel, Charlie, , , Office Sought: House Disbursem	ant Fau and	Туре	1000.00
	nent For: 2016 Primary x General		1000.00
<u>"</u>	Other (specify)		Memo Item
State: GA District: 54			Memo item
			2400.00
SUBTOTAL of Disbursements This Page (optional)		·····•	3400.00
TOTAL This Period (last page this line number only).			

SCHEDULE B (FEC Form 3X) ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(orlook only	
	Detailed Summary Page	28a	28b 28c x 29 30b
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NAME OF COMMITTEE (In Full)	aa addioso of any polit	John Milet IC	25.5 Commodatorio ironi Guon Committee.
The Caterpillar Inc. Employee Polit	tical Action Commit	tee	
Full Name (Last, First, Middle Initial)			Date of Dishusoment
A. Cmte to Re-elect Jack Hill			Date of Disbursement
Mailing Address P.O. Box 486			10 10 2016
Reidsville	State Zip Code 30453		FEC Identification Number
Purpose of Disbursement G-2016 State Senate 04 GA		011	C Transaction ID : B631364
Candidate Name		Category/	Amount of Each Disbursement this Period
Hill, Jack, , , Office Sought: House Disburser	ment For: 2016	Туре	1000.00
Senate Dispurser	Primary General		7 7 7
State: GA District: 04	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
B. Comm To Elect Al Williams			Date of Disbursement
Mailing Address ago O			M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
Mailing Address 229 General Screven Way Suite M	<i>n</i> 4		10 14 2016
,	State Zip Code		FEC Identification Number
Hinesville Purpose of Disbursement	GA 31313		
G-2016 State House 168 GA		011	C Transposition ID + B622642
Candidate Name		Category/	Transaction ID : B632612 Amount of Each Disbursement this Period
Williams, Cyril, , ,		Type	
	ment For: 2016		1000.00
Senate President	Primary General Other (specify)		П.,
State: GA District: 16			Memo Item
Full Name (Last, First, Middle Initial)			
C. Friends of Don Lehe			Date of Disbursement
Mailing Address 10644 South 100 East			10 10 / 2016
City	State Zip Code		FEC Identification Number
Brookston	IN 47923		
Purpose of Disbursement G-2016 State House 25 IN		011	Transaction ID : B631367
Candidate Name		Category/	Amount of Each Disbursement this Period
Lehe, Donald, , ,	want Fam	Туре	4000.00
Office Sought: House Disburser Senate	ment For: 2016 Primary		1000.00
President	Primary		Morro Nove
State: IN District: 25	V 1 31 - ▼		Memo Item
SUBTOTAL of Disbursements This Page (optional)		·····	3000.00
TOTAL This Period (last page this line number only)	١		

SCHEDULE B (FEC Form 3X)		FOR LINE	NUMBER: PAGE 30 OF 33
ITEMIZED DISBURSEMENTS	Use separate sched for each category of	the (oncor on	<i>-</i>
	Detailed Summary F		22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and State	ments may not be sold		, , , , , , , , , , , , , , , , , , ,
or for commercial purposes, other than using the na			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Poli	tical Action Com	nmittee	
Full Name (Lock First Middle Initial)			1
Full Name (Last, First, Middle Initial) A. Friends of Caleb Rowden			Date of Disbursement
Therias of Galeb Nowden			M M / D D / Y Y Y Y
Mailing Address P.O. Box 7088			10 10 2016
20			
City Columbia	State Zip Code 65205		FEC Identification Number
Purpose of Disbursement	03203		C
G-2016 State House 44 MO		011	Transaction ID : B631368
Candidate Name		Category/	Amount of Each Disbursement this Period
Rowden, Caleb, , ,		Type	2500.00
Office Sought: House Disburse Senate	ement For: 2016	orol	2500.00
President	Primary	erai	
State: MO District: 44	omer (epeemy) V		Memo Item
Full Name (Last, First, Middle Initial)			
B. Citizens for Tamara Barringer			Date of Disbursement
			M = M / D = D / Y = Y = Y
Mailing Address P.O. Box 99749			10 10 2016
City	State Zip Code		FFO Identification Number
Raleigh	NC 27624		FEC Identification Number
Purpose of Disbursement G-2016 State Senate 17 NC		244	
Candidate Name		011	Transaction ID : B631366
Barringer, Tamara, , ,		Category/ Type	Amount of Each Disbursement this Period
	ment For: 2016	.,,,,	2000.00
x Senate	Primary X Gene	eral	4 4
President	Other (specify)		Memo Item
State: NC District: 17			
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. SC Legislative Black Caucus			M M / D D / Y Y Y Y
Mailing Address 207 Solomon Blatt Building			10 14 2016
-			
City Columbia	State Zip Code SC 29211		FEC Identification Number
Purpose of Disbursement	20211		C
O-2016 State PAC SC		011	Transaction ID : B632611
Candidate Name		Category/	Amount of Each Disbursement this Period
Office Sought: House Disburse	ement For: 2016	Туре	1000.00
Senate Dispulse	Primary Gene	eral	1000.00
President x	Other (specify) ▼	J. G.	Marra Harr
State: District:	Not App	olicable	Memo Item
SUBTOTAL of Disbursements This Page (optional).		·····	5500.00
TOTAL This Period (last page this line number only	······································		
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SCHEDULE B (FEC Form 3X)	Llee concrete cohodule(e)	FOR LINE	
ITEMIZED DISBURSEMENTS	Use separate schedule(s) for each category of the	(check only	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	Lents may not be sold or us		
or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Committ	ee	
Full Name (Lock First Middle Initial)			
Full Name (Last, First, Middle Initial) A. Friends of Jimmy Matlock			Date of Disbursement
1 Herids of Silling Matiock			M M / D D / Y Y Y Y
Mailing Address 190 Matlock Road			10 06 2016
,	State Zip Code TN 37771		FEC Identification Number
Purpose of Disbursement	37771		C
G-2016 State House 21 TN		011	Transaction ID : B624054
Candidate Name		Category/	Amount of Each Disbursement this Period
Matlock, Jimmy, , ,		Type	1000.00
	nent For: 2016		-1000.00
	Primary		Voided: Original check dated
State: TN District: 21	€ (opeo)		Memo Item 08/26/16
Full Name (Last, First, Middle Initial)			
B. Friends of Randy McNally			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 94 Royal Troon Circle			10 06 2016
City	State Zip Code		
-	TN 37830		FEC Identification Number
Purpose of Disbursement P-2018 State Senate 05 TN	·	1	
Candidate Name		011	Transaction ID : B624052
McNally, Randy, , ,		Category/ Type	Amount of Each Disbursement this Period
	nent For: 2018	туре	-3000.00
	Primary General		Voided: Original check dated
President	Other (specify)		08/26/16 Memo Item
State: TN District: 05			
Full Name (Last, First, Middle Initial)			Data of Dishamanana
C. Jim Tracy for State Senate			Date of Disbursement
Mailing Address PO Box 332166			10 06 2016
,	State Zip Code		FEC Identification Number
Mufreesboro Purpose of Disbursement	TN 37133		
G-2016 State Senate 14 TN		011	C
Candidate Name		Category/	Transaction ID : B624053 Amount of Each Disbursement this Period
Tracy, Jim, , ,		Type	
	nent For: 2016		-1000.00
	Primary General		Voided: Original check dated
State: TN District: 14	Other (specify) ▼		Memo Item 08/26/16
SUBTOTAL of Disbursements This Page (optional)			-5000.00
TOTAL This Period (last page this line number only).			

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	FOR LINE	
ITEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	(check only	22 23 26 27
	ago	28a	28b 28c x 29 30b
Any information copied from such Reports and Staten or for commercial purposes, other than using the name			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Polit	ical Action Committe	ee	
Full Name (Last, First, Middle Initial)			D (D)
A. Friends of Jimmy Matlock			Date of Disbursement
Mailing Address 190 Matlock Road			10 10 2016
City Lenoir City	State Zip Code TN 37771		FEC Identification Number
Purpose of Disbursement G-2016 State House 21 TN		011	C
Candidate Name		Category/	Transaction ID: B631372 Amount of Each Disbursement this Period
Matlock, Jimmy, , ,		Type	
Office Sought: House Disbursen Senate	nent For: 2016 Primary 🗶 General		1000.00
President State: TN District: 21	Other (specify) ▼		Memo Item
Full Name (Last, First, Middle Initial)			
3. Friends of Randy McNally			Date of Disbursement
Mailing Address 94 Royal Troon Circle			10 10 2016
,	State Zip Code		FEC Identification Number
Oak Ridge Purpose of Disbursement	TN 37830		С
P-2018 State Senate 05 TN		011	Transaction ID : B631374
Candidate Name		Category/	Amount of Each Disbursement this Period
McNally, Randy, , ,		Туре	
	nent For: 2018 Primary General		3000.00
• • • • • •	Primary General Other (specify)		
State: TN District: 05	cure. (epoony)		Memo Item
Full Name (Last, First, Middle Initial)			Date of Disbursement
C. Jim Tracy for State Senate			M M / D D / Y Y Y Y
Mailing Address P.O. Box 332116			10 10 2016
City	State Zip Code		FEC Identification Number
Murfreesboro	TN 37133		
Purpose of Disbursement G-2016 State Senate 14 TN		011	Transaction ID : B631376
Candidate Name		Category/	Amount of Each Disbursement this Period
Tracy, Jim, , ,	nent Ferri 2010	Туре	1000.00
Office Sought: House Disbursen	nent For: 2016 Primary 🗶 General		1000.00
President	Other (specify)		Maria lari
State: TN District: 14	, .		Memo Item
SUBTOTAL of Disbursements This Page (optional)			5000.00
TOTAL This Period (last page this line number only)			

SCHEDULE B (FEC Form 3X)	Han annual and adula (a)	FOR LINE	NUMBER: PAGE 33 OF 33
ITEMIZED DISBURSEMENTS	Use separate schedule(s for each category of the	(orleast orlin)	
	Detailed Summary Page	21b 28a	22 23 26 27 28b 28c x 29 30b
Any information copied from such Reports and Statem	anto may not be cold or u		
or for commercial purposes, other than using the nam			
NAME OF COMMITTEE (In Full)			
The Caterpillar Inc. Employee Politi	ical Action Commit	tee	
/			
Full Name (Last, First, Middle Initial) A. Phil King Campaign			Date of Disbursement
A. Phil King Campaign			M M / D D / Y Y Y
Mailing Address 201 Fort Worth Highway			10 10 2016
,	State Zip Code TX 76086		FEC Identification Number
Weatherford Purpose of Disbursement	70000		C
G-2016 State House 61 TX		011	
Candidate Name		Category/	Transaction ID : B631369 Amount of Each Disbursement this Period
King, Phil S., , ,		Туре	
	nent For: 2016		1000.00
	Primary x General Other (specify) ▼		
State: TX District: 61	Other (specify)		Memo Item
Full Name (Last, First, Middle Initial)			
B. Aaron Clausen for District House 6			Date of Disbursement
			M M / D D / Y Y Y Y
Mailing Address 910 Esterbrook Rd.			10 10 2016
City	State Zip Code		
·	WY 82633		FEC Identification Number
Purpose of Disbursement			С
G-2016 State House 06 WY		011	Transaction ID : B631388
Clausen Agren		Category/	Amount of Each Disbursement this Period
Clausen, Aaron, , , Office Sought: Mouse Disbursem	nent For: 2016	Туре	250.00
	Primary General		4 4
	Other (specify)		Mama Itam
State: WY District: 06			Memo Item
Full Name (Last, First, Middle Initial)			
С.			Date of Disbursement
Mailing Address			M M / D D / Y Y Y Y
Maining Addition			
City	State Zip Code		FEC Identification Number
Purpose of Disbursement			0
rulpose of dispulsement			C
Candidate Name		Catagory	Amount of Each Disbursement this Period
		Category/ Type	Amount of Each Disbursement this Feriod
Office Sought: House Disbursem			7 7 7
	Primary General		
State: District:	Other (specify) ▼		Memo Item
orate. District.			
SUBTOTAL of Disbursements This Page (optional)			1250.00
			7 7 7
TOTAL This Period (last page this line number only).			29150.00